

**S/N 09/870,122**



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: P. Patrick Cleary et al.

Examiner:

Serial No.: 09/870,122

Group Art Unit: 1645

Filed: May 30, 2001

Docket: 600.450US2

Title: STREPTOCOCCAL C5a PEPTIDASE VACCINE

**COMMUNICATION RE: MISSING PARTS**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**COPY OF PAPERS  
ORIGINALLY FILED**

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$130.00 to cover the large entity surcharge and a check in the amount of \$2,228.00 to cover the large entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6961.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

P. PATRICK CLEARY ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6961

Date 19 February 2002

By

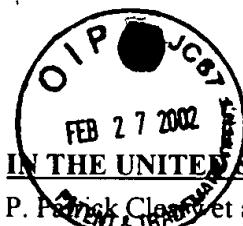
  
Ann S. Viksnins  
Reg. No. 37,748  
ASV:CMG:sc

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 20 day of February, 2002.

Seamatattie Creg  
Name

  
Signature

S/N 09/870,122



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: P. Patrick Cleary et al.  
Serial No.: 09/870,122  
Filed: May 30, 2001  
Title: STREPTOCOCCAL C5a PEPTIDASE VACCINE

Examiner:  
Group Art Unit: 1645  
Docket: 600.450US2

**COMMUNICATION RE: CORRECTED APPLICATION PAPERS**

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Washington, D.C. 20231

*COPY OF PAPERS  
ORIGINALLY FILED*

In response to the "Notice to File Missing Parts" which indicated "Corrected Papers" additionally required, enclosed is one sheet of the sequence listing information.

Applicants assume the application is now in proper order and in condition for examination.

Please direct any inquiries to the undersigned attorney at (612) 373-6961.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

P. PATRICK CLEARY ET AL.

By their Representatives,

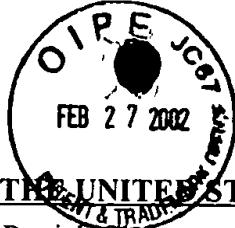
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6961

Date 19 February 2002 By *A.S. Viksnins*  
Ann S. Viksnins  
Reg. No. 37,748  
ASV:CMG:sc

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Seamatte Creg  
Name

*Seamatte Creg*  
Signature



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PATENT

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Applicant: P. Patrick Cleary et al.

Examiner:

Serial No.: 09/870,122

Group Art Unit: 1645

Filed: May 30, 2001

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Title: STREPTOCOCCAL C5a PEPTIDASE VACCINE

COMMUNICATION UNDER 37 C.F.R. § 1.821

Commissioner for Patents  
Washington, D.C. 20231

Sir:

This Communication is filed in response to the "Notice to File Missing Parts of Nonprovisional Application" mailed December 20, 2001 to conform the above-referenced application to the requirements of 37 C.F.R. §§ 1.821 - 1.825.

The contents of the paper version of the SEQUENCE LISTING and the computer readable form of the SEQUENCE LISTING, both submitted on May 30, 2001, are the same and do not include new matter.

Respectfully submitted,

P. PATRICK CLEARY ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6961

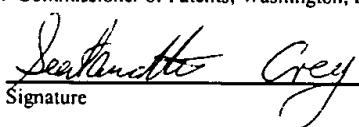
Date 19 February 2002

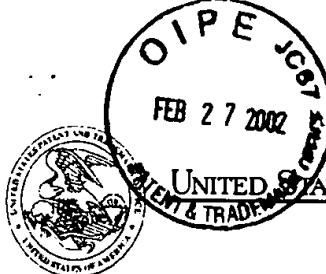
By

  
Ann S. Viksnins  
Reg. No. 37,748

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Name

  
Signature



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/870,122	05/30/2001	Paul Patrick Cleary	600.450US2

CONFIRMATION NO. 7859

21186  
 SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
 P.O. BOX 2938  
 MINNEAPOLIS, MN 55402

## FORMALITIES LETTER



\*OC000000007223014\*

Date Mailed: 12/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/05/2002 SDIRETAL 00000046 09870122

## FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 0P
02 FC:103	1404.00 0P
03 FC:102	34.00 0P
04 FC:105	130.00 0P

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1484.
  - \$1404 for 78 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2324.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

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For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

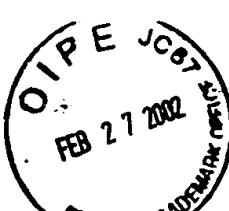
*A copy of this notice **MUST** be returned with the reply.*

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## Customer Service Center

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Title: STREPTOCOCCAL C5a PEPTIDASE VACCINE

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Due Date: February 20, 2002

Group Art Unit: 1645

*sector*  
*JH*  
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Attn: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the attached:

- A return postcard.
- A check in the amount of \$130.00 to cover the Missing Parts Fee.
- A check in the amount of \$2,228.00 to cover the Basic Filing Fee and Additional Claims Fee.
- Communication Re: Missing Parts (1 pg.)
- A signed Combined Declaration and Power of Attorney ( 3 pgs.).
- Notice to File Missing Parts (2pgs.)
- Communication Re: Corrected Application Papers (1 pg.)
- Sequence Listing (1 pg.).

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: *ASL*  
Name: Ann S. Viksnins  
Reg. No. 37,748  
ASV:CMG:sc

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Seatamattie Creg  
Name

*Seatamattie Creg*  
Signature